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EMARMO	U.S. Pat 15. no persons are required to respond to a collect Application Number	PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 tent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a valid OMB control number. 09/894,182
TRANSMITTAL FORM	Filing Date  First Named Inventor  Art Unit	June 28, 2001 Chatani
(to be used for all correspondence after initial  Total Number of Pages in This Submission	Examiner Name	2155 Wang PA1716US
Fee Transmittal Form	ENCLOSURES (Check all the Drawing(s)	After Allowance Communication to TC
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer Request for Refund	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  * PTO-SB-08 (x2)
✓ Information Disclosure Statement	CD, Number of CD(s)  Landscape Table on CD	* One Foreign Patent References
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks  Total page number does not include pate	ent reference.
SIGNA'	TURE OF APPLICANT, ATTORN	IEY, OR AGENT
Carr & Ferrell LLP	. *	

# CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature | Central March 19, 2007 | Date | March 19, 2007 |

Reg. No.

32,246

M. Tarlow

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Kenneth M. Kaslow

March 19, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** 

Masayuki Chatani

**APPLICATION NO.:** 

09/894,182

FILING DATE:

June 28, 2001

TITLE:

System for Utilizing an Incentive Point System Based on

Disc and User Identification

**EXAMINER:** 

Liang Che A Wang

ART UNIT:

2155

ATTY. DKT. NO.:

**PA1716US** 

#### CERTIFICATE OF MAILING 37 C.F.R. § 1.8

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Kenneth M. Kaslow

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(D)

Enclosed herewith is form PTO-SB-08, listing several references. A copy of the non U.S. patent reference is enclosed.

03/22/2007 WASFAW1 00000014 060600 09894182

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The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

This statement is submitted in accordance with 37 C.F.R. § 1.97(d) in that a notice of allowance was issued January 30, 2007 but the issue fee corresponding to that notice has not yet been paid.

The Applicant submits herewith the requisite statement under 37 C.F.R. § 1.97(e) in that each item of information contained in the present disclosure statement was first cited in a communication from a foreign patent office in a counterpart application and made available to the Applicant not more than three months prior to the filing of the present information disclosure statement and/or no item of information contained in the present disclosure statement was known to an individual designated under 37 C.F.R. § 1.56(c) more than three months prior to the filing of the present disclosure statement.

The fee required by 37 C.F.R. § 1.17(p) is hereby authorized to be charged to **Deposit Account 06-0600**. The Applicant has previously submitted form PTO-SB-17 authorizing the payment of any fees due under 37 C.F.R. § 1.16 and 37 C.F.R. § 1.17 to the aforementioned deposit account. That authorization remains outstanding and is reaffirmed herewith. The Commissioner is hereby authorized to charge **Deposit Account No. 06-0600** for any additional fees that may be required or credit that account for any overpayment.

Respectfully submitted, Masayuki Chatani

March 19, 2007

Bv:

Kenneth M. Kaslow, Reg. No. 32,246

Nenneth M. Keslow

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2200 Geng Road

Palo Alto, CA 94303

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PTO/SB/08A (08-03)

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**Application Number** 

Complete if Known

09/894,182

June 28, 2001

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Filing Date

Substitute for form 1449/PTO

MAR 2 2 2007

#### INFORMATION DISCLOSURE

STATEMENT			T I FIRST NAMED INVENTOR	Chatani	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				2155	
(Ose as many sneets as necessary)		Examiner Name	Wang	·	
neet 1	of	11	Attorney Docket Number	PA1716US	·

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 2001/0020295 A1	09-06-2001	Satoh	
		<sup>US-</sup> 2002/0161709 A1	10-31-2002	Floyd et al.	
		<sup>US-</sup> 6189146 B1	02-13-2001	Misra et al.	
		<sup>US-</sup> 6247131 B1	06-12-2001	Kotani et al.	
		<sup>US-</sup> 6135646	10-24-2000	Kahn et al.	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	· · · · · · · · · · · · · · · · · · ·	Or Relevant Figures Appear	T⁵
		WO 02/001333 A3	01-03-2002	Microsoft Corp.		$\vdash$
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Examiner	Date		
Signature	Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language

Translation is attraction.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

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Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	09/894,182	
Filing Date	June 28, 2001	
First Named Inventor	Chatani	
Art Unit	2155	
Examiner Name	Wang	
Attorney Docket Number	PA1716US	

Complete if Known

Examiner	Cite	Dogument Number		TOCUMENTS	
Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (# known)</sup>			Figures Appear
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		FORE	IGN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	The state of the s	Or Relevant Figures Appear	T <sup>6</sup>
		WO 02/001333 A3	01-03-2002	Microsoft Corp.		_
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Examiner		Date	
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*EXAMINER	Initial if reference considered whether or not citation is in conference with MDED and		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language

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